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UJ 1075

Docket No.: 100086.409  
Date: March 15, 1999

In re application of **Orest W. Blaschuk and Barbara J. Gour**  
Application No.: **09/185,908**  
Filed: **November 3, 1998**  
For: **COMPOUNDS AND METHODS FOR MODULATING  
CLAUDIN-MEDIATED FUNCTIONS**

BOX NON-FEE AMENDMENT  
ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON DC 20231

Sir:

Transmitted herewith is a preliminary amendment in the above-identified application.

[ X ] Small entity status of this application under 37 CFR 1.9 and 1.27 has been established by a verified statement previously submitted.

[ ] A verified statement to establish small entity status under CFR 1.9 and 1.27 is enclosed.

[ ] A Petition for an Extension of Time for month is enclosed.

[ ] A General Authorization Under 37 C.F.R. § 1.136(a)(3) is enclosed.

[ X ] No additional claim fee is required.

[ ] The fee has been calculated as shown.

	(Col. 1)		(Col. 2)	(Col. 3)
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST PREV. PAID FOR	PRESENT EXTRA
TOTAL	* <b>185</b>	MINUS	** <b>185</b>	<b>0</b>
INDEP.	* <b>20</b>	MINUS	*** <b>20</b>	<b>0</b>
[ ] FIRST PRESENTATION OF MULTIPLE CLAIMS				
EXTENSION OF TIME FEE				
TOTAL ADDITIONAL FEE				

SMALL ENTITY		OTHER THAN A SMALL ENTITY	
RATE	ADDITIONAL FEE	RATE	ADDITIONAL FEE
x <b>9</b>	\$	x <b>18</b>	\$
x <b>39</b>	\$	x <b>78</b>	\$
+ <b>130</b>	\$	+ <b>260</b>	\$
	\$		\$
	\$		\$
TOTAL		TOTAL	

\* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.

\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.

\*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space.

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.

[ ] Please charge my Deposit Account No. 19-1090 in the amount of \$\_. A duplicate copy of this sheet is enclosed.

[ ] A check in the amount of \$\_ is attached.

[X] The Assistant Commissioner is hereby authorized to charge payment of the following additional fees associated with this communication or credit any overpayment to Deposit Account No. 19-1090. A duplicate copy of this sheet is enclosed.

[X] Any filing fees under 37 CFR 1.16 for the presentation of extra claims.

[X] Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,  
Orest W. Blaschuk and Barbara J. Gour  
SEED AND BERRY LLP

  
David J. Maki  
Registration No. 31,392



PP 11/11  
PLUNKETT  
3/19/99

PATENT

I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

March 15, 1999  
Date

Angela S. Long

Angela S. Long

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Orest W. Blaschuk and Barbara J. Gour  
Application No. : 09/185,908  
Filed : November 3, 1998  
For : COMPOUNDS AND METHODS FOR MODULATING CLAUDIN-MEDIATED FUNCTIONS

Art Unit : 1643  
Docket No. : 100086.409  
Date : March 15, 1999

Box Non-Fee Amendment  
Assistant Commissioner for Patents  
Washington, DC 20231

PRELIMINARY AMENDMENT

Sir:

Please amend the above-identified application as follows:

In the Claims:

20. (Amended) A modulating agent according to claim 8, wherein  $Y_1$  and  $Y_2$  are each tryptophan or a derivative thereof, such that the covalent bond generates a  $[\delta_1\delta_1\text{-ditryptophan}]$   $\delta_1\delta_1\text{-ditryptophan}$ , or a derivative thereof.

A/